

Introductory sub-table (I)(a)

Name of the Company: STERLING GUARANTY & FINANCE LIMITED			
Scrip Code, Name of the scrip, class of security: 508963 , STRLGUA, EQUITY SHARES OF RS. 10 EACH FULLY PAID UP			
Quarter ended: 30th SEPTEMBER 2013			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - D	0	0	0
Outstanding convertible securities:- No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities	
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - E	0	0	0
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - F	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities (Grand Total (A+B+C)+ D+E+F)		6537600	100

Statement Showing Shareholding Pattern

Category code	Category of Shareholder	Number of Shareholders	Table (I)(a)		Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
			Total number of shares	Number of shares held in dematerialized form	As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage
			(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	12	1092384	0	16.71	16.71	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	4	2025422	0	30.98	30.98	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
(e-i)					0.00	0.00	0	0.00
(e-ii)					0.00	0.00	0	0.00
	Sub Total(A)(1)	16	3117806	0	47.69	47.69	0	0.00
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
d-i					0.00	0.00	0	0.00
d-ii					0.00	0.00	0	0.00
	Sub Total(A)(2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter and	16	3117806	0	47.69	47.69	0	0.00

(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI	1	700	0	0.01	0.01		
(b)	Financial Institutions / Banks	2	62800	0	0.96	0.96		
(c)	Central Government/ State Government(s)							
		0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	0	0	0	0.00	0.00		
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00		
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00		
(h)	Any Other (specify)	0	0	0	0.00	0.00		
(h-i)					0.00	0.00		
(h-ii)					0.00	0.00		
	Sub-Total (B)(1)	3	63500	0	0.97	0.97		
B 2	Non-institutions							
(a)	Bodies Corporate	56	432036	0	6.61	6.61		
(b)	Individuals			0	0.00	0.00		
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	14959	2792058	0	42.71	42.71		
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.							
		9	131700	0	2.01	2.01		
(c)	Any Other (specify)			0	0.00	0.00		
(c-i)	Directors & Their Relatives	1	500		0.01	0.01		
(c-ii)					0.00	0.00		
	Sub-Total (B)(2)	15025	3356294	0	51.34	51.34		
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	15028	3419794	0	52.31	52.31		
	TOTAL (A)+(B)	15044	6537600	0	100.00	100.00		
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0		0	0.00	0	0.00
2	Public	0	0		0	0.00		
	Sub-Total (C)	0	0	0			0	0
	GRAND TOTAL (A)+(B)+(C)	15044	6537600	0		100.00	0	0.00

(I)(b) Statement showing Shareholding of persons belonging to the category “Promoter and Promoter Group”

Sr. No.	Name of the shareholder	Total shares held		Shares pledged or otherwise encumbered		
		Number of shares	As a % of grand total +(B) +(C)	(A)	Number	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)
1	MEENA MEHTA	20	0.00		0.00	0.00
2	MEENA DHIREN MEHTA/DHIREN DHIRAJLAL MEHTA	143700	2.20		0.00	0.00
3	DHIREN DHIRAJLAL MEHTA/MEENA MEHTA	1000	0.02		0.00	0.00
4	DHIREN DHIRAJLAL MEHTA	511881	7.83		0.00	0.00
5	DHIREN DHIRAJLAL MEHTA/MEENA DHIREN MEHTA	76500	1.17		0.00	0.00
6	DHIREN DHIRAJLAL MEHTA/MEENA MEHTA	200000	3.06		0.00	0.00
7	DHARMEN D MEHTA	2850	0.04		0.00	0.00
8	DHARMEN DHIREN MEHTA	263	0.00		0.00	0.00
9	ADITI D MEHTA/DHIREN D MEHTA/ MEENA D MEHTA	500	0.01		0.00	0.00
10	DHIRAJLAL SHAH	20	0.00		0.00	0.00
11	COMPUTRON SYSTEMS PVT LTD	150000	2.29		0.00	0.00
12	SHANTA D SHAH/MEENA D MEHTA	30650	0.47		0.00	0.00
13	DHIREN D MEHTA	125000	1.91		0.00	0.00
14	STERLING INVESTMENTS (I) PVT. LTD.	442672	6.77		0.00	0.00
15	STERLING INVESTMENTS (INDIA) LTD.	605200	9.26		0.00	0.00
16	INDISTOCK SECURITIES LTD.	827550	12.66		0.00	0.00
TOTAL		3117806	47.69		0.00	0.00

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category “Public” and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1		0	0.00	0	0	0	0	0
2			0.00		0		0	
3			0.00		0		0	
4			0.00		0		0	
5			0.00		0		0	
6			0.00		0		0	
7			0.00		0		0	
8			0.00		0		0	
9			0.00		0		0	
10			0.00		0		0	
TOTAL		0	0.00	0	0	0	0	0

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1		0	0.00
2			0.00
3			0.00
4			0.00
5			0.00
6			0.00
7			0.00
8			0.00
9			0.00
TOTAL		0	0.00

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1		0	0	0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
TOTAL		0	0	0.00

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1		0	0	0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
TOTAL			0	0.00