

**General information about company**

Scrip code	508963
NSE Symbol	
MSEI Symbol	
ISIN	INE668Y01016
Name of the company	STERLING GUARANTY & FINANCE LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	29-06-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

**Declaration**

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of (A+B+C)
								No of Voting (XIV) Rights			
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	7	3117806			3117806	47.69	3117806		3117806	47.69
(B)	Public	14932	3419794			3419794	52.31	3419794		3419794	52.31
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	<b>Total</b>	<b>14939</b>	<b>6537600</b>			<b>6537600</b>	<b>100</b>	<b>6537600</b>		<b>6537600</b>	<b>100</b>

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				47.69					3117806
(B)	Public				52.31					370035
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					3487841

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	4	1092384			1092384	16.71	1092384		1092384	16.71
(d)	Any Other (specify)	3	2025422			2025422	30.98	2025422		2025422	30.98
Sub-Total (A) (1)		7	3117806			3117806	47.69	3117806		3117806	47.69
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		7	3117806			3117806	47.69	3117806		3117806	47.69
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(f)	Financial Institutions/ Banks	3	63500			63500	0.97	63500		63500	0.97
Sub-Total (B) (1)		3	63500			63500	0.97	63500		63500	0.97
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	14867	2898535			2898535	44.34	2898535		2898535	44.34
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	2	96900			96900	1.48	96900		96900	1.48
(e)	Any Other (specify)	60	360859			360859	5.52	360859		360859	5.52
Sub-Total (B) (3)		14929	3356294			3356294	51.34	3356294		3356294	51.34
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		14932	3419794			3419794	52.31	3419794		3419794	52.31
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		14939	6537600			6537600	100	6537600		6537600	100
Total (A+B+C)		14939	6537600			6537600	100	6537600		6537600	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				16.71					1092384
(d)				30.98					2025422
Sub-Total (A) (1)				47.69					3117806
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				47.69					3117806
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(f)				0.97					62700
Sub-Total (B) (1)				0.97					62700
(3)	Non-institutions								
(a(i))				44.34					176832
(a(ii))				1.48					0
(e)				5.52					130503
Sub-Total (B) (3)				51.34					307335
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				52.31					370035
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					3487841
Total (A+B+C )				100					3487841

Individuals/Hindu undivided Family					
Serial No.	1	2	3	4	
Name of the Shareholders (I)	DHIREN D. MEHTA	MEENA D. MEHTA	DHARMEN DHIREN MEHTA	ADITI DHIREN MEHTA	<a href="#">Click here to go back</a>
PAN (II)	AABPM5035A	AABPM5034B	AACPM5333Q	AAPP2884B	Total
No. of fully paid up equity shares held (IV)	914401	174370	3113	500	1092384
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	914401	174370	3113	500	1092384
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	13.99	2.67	0.05	0.01	16.71
Number of Voting Rights held in each class of securities (IX)					
Class eg:X	914401	174370	3113	500	1092384
Class eg:y					
Total	914401	174370	3113	500	1092384
Total as a % of Total Voting rights	13.99	2.67	0.05	0.01	16.71
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	13.99	2.67	0.05	0.01	16.71
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of Shares pledged or otherwise encumbered (XIII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	914401	174370	3113	500	1092384
Reason for not providing PAN					

Reason for not providing PAN					
Shareholder type	Promoter	Promoter	Promoter	Promoter	



Any Other (specify)				
Serial No.	1	2	3	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	STERLING INVESTMENT(INDIA ) LIMITED	INDISTOCK SECURITIES LIMITED	COMPUTRON SYSTEMS PVT LTD	
PAN (II)	AADCS0857M	AACCS1442H	AAACC2886M	Total
No. of the Shareholders (I)	1	1	1	3
No. of fully paid up equity shares held (IV)	835422	827550	362450	2025422
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	835422	827550	362450	2025422
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	12.78	12.66	5.54	30.98
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	835422	827550	362450	2025422
Class eg:y				
Total	835422	827550	362450	2025422
Total as a % of Total Voting rights	12.78	12.66	5.54	30.98
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	12.78	12.66	5.54	30.98
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)				
As a % of total Shares held (b)				

Number of equity shares held in dematerialized form (XIV)	835422	827550	362450	2025422
Reason for not providing PAN				
Reason for not providing PAN				
Shareholder type	Promoter Group	Promoter Group	Promoter Group	

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		
Serial No.	1	
Name of the Shareholders (I)	NIRMAL BAGRI	<a href="#">Click here to go back</a>
PAN (II)		Total
No. of fully paid up equity shares held (IV)	71700	71700
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	71700	71700
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.1	1.1
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	71700	71700
Class eg:y		
Total	71700	71700
Total as a % of Total Voting rights	1.1	1.1
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.1	1.1
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	0	0
Reason for not providing PAN		
Reason for not providing PAN	Textual Information(1)	

**Text Block**

Textual Information(1)

PAN NOT PROVIDED

Any Other (specify)					
Serial No.	1	2	3	4	
Category	Bodies Corporate	Bodies Corporate	Non-Resident Indian (NRI)	HUF	
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	Category	Category	
Name of the Shareholders (I)		BHAVNA HOLDINGS PVT. LTD.			<a href="#">Click here to go back</a>
PAN (II)		AAACB2152R			Total
No. of the Shareholders (I)	53	1	3	4	60
No. of fully paid up equity shares held (IV)	358390	167870	500	1969	360859
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	358390	167870	500	1969	360859
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	5.48	2.57	0.01	0.03	5.52
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	358390	167870	500	1969	360859
Class eg:y					
Total	358390	167870	500	1969	360859
Total as a % of Total Voting rights	5.48	2.57	0.01	0.03	5.52
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	5.48	2.57	0.01	0.03	5.52
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in	129103	125920	500	900	130503

dematerialized form (XIV)					
Reason for not providing PAN					
Reason for not providing PAN					

**significant beneficial owners**

Details of the SBO					Details of the registered owner					Details of holding/ exercise the reporting company, wh		
Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:		
										Shares	Voting rights	Rights on distributab dividend c any other distributio
DHIREN D. MEHTA	AABPM5035A		India		DHIREN D. MEHTA	AABPM5035A		India		13.99	13.99	
<b>Total:</b>										<b>13.99</b>	<b>13.99</b>	<b>0</b>
STERLING INVESTMENT(INDIA) LIMITED	AADCS0857M		India		STERLING INVESTMENT(INDIA) LIMITED	AADCS0857M		India		12.78	12.78	
<b>Total:</b>										<b>12.78</b>	<b>12.78</b>	<b>0</b>
INDISTOCK SECURITIES LIMITED	AACCS1442H		India		INDISTOCK SECURITIES LIMITED	AACCS1442H		India		12.66	12.66	
<b>Total:</b>										<b>12.66</b>	<b>12.66</b>	<b>0</b>