

| General information about company | |
|--|-------------------------------------|
| Scrip code | 508963 |
| NSE Symbol | |
| MSEI Symbol | |
| ISIN | INE668Y01016 |
| Name of the company | STERLING GUARANTY & FINANCE LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-06-2018 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

| Declaration | | | | | |
|--------------------|--|--------|-----------------------------|--------------------|-------------------------|
| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter-Non Public |
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |

| Table I - Summary Statement holding of specified securities | | | | | | | | | | | |
|---|--------------------------------|----------------------------|--|--|---|--|---|---|------------|---------|-------------------------|
| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 7 | 3117806 | | | 3117806 | 47.69 | 3117806 | | 3117806 | 47.69 |
| (B) | Public | 14967 | 3419794 | | | 3419794 | 52.31 | 3419794 | | 3419794 | 52.31 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 14974 | 6537600 | | | 6537600 | 100 | 6537600 | | 6537600 | 100 |

| Table I - Summary Statement holding of specified securities | | | | | | | | | | |
|---|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 47.69 | | | | | 3117806 |
| (B) | Public | | | | 52.31 | | | | | 81270 |
| (C) | Non Promoter-Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | | | 3199076 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | | |
|---|---|----------------------------|--|--|---|--|---|---|------------|---------|-------------------------------------|
| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | Total as a % of Total Voting rights |
| | | | | | | | | No of Voting (XIV) Rights | | | |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 4 | 1092384 | | | 1092384 | 16.71 | 1092384 | | 1092384 | 16.71 |
| (d) | Any Other (specify) | 3 | 2025422 | | | 2025422 | 30.98 | 2025422 | | 2025422 | 30.98 |
| Sub-Total (A) (1) | | 7 | 3117806 | | | 3117806 | 47.69 | 3117806 | | 3117806 | 47.69 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2) | | 7 | 3117806 | | | 3117806 | 47.69 | 3117806 | | 3117806 | 47.69 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (f) | Financial Institutions/ Banks | 3 | 63500 | | | 63500 | 0.97 | 63500 | | 63500 | 0.97 |
| Sub-Total (B) (1) | | 3 | 63500 | | | 63500 | 0.97 | 63500 | | 63500 | 0.97 |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 14906 | 2898739 | | | 2898739 | 44.34 | 2898739 | | 2898739 | 44.34 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 2 | 96900 | | | 96900 | 1.48 | 96900 | | 96900 | 1.48 |
| (e) | Any Other (specify) | 56 | 360655 | | | 360655 | 5.52 | 360655 | | 360655 | 5.52 |
| Sub-Total (B) (3) | | 14964 | 3356294 | | | 3356294 | 51.34 | 3356294 | | 3356294 | 51.34 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 14967 | 3419794 | | | 3419794 | 52.31 | 3419794 | | 3419794 | 52.31 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 14974 | 6537600 | | | 6537600 | 100 | 6537600 | | 6537600 | 100 |
| Total (A+B+C) | | 14974 | 6537600 | | | 6537600 | 100 | 6537600 | | 6537600 | 100 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | |
|---|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 16.71 | | | | | 1092384 |
| (d) | | | | 30.98 | | | | | 2025422 |
| Sub-Total (A) (1) | | | | 47.69 | | | | | 3117806 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 47.69 | | | | | 3117806 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (f) | | | | 0.97 | | | | | 62700 |
| Sub-Total (B) (1) | | | | 0.97 | | | | | 62700 |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 44.34 | | | | | 18270 |
| (a(ii)) | | | | 1.48 | | | | | 0 |
| (e) | | | | 5.52 | | | | | 300 |
| Sub-Total (B) (3) | | | | 51.34 | | | | | 18570 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 52.31 | | | | | 81270 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | 3199076 |
| Total (A+B+C) | | | | 100 | | | | | 3199076 |

| Individuals/Hindu undivided Family | | | | | |
|--|-----------------|----------------|----------------------|--------------------|---------------------------------------|
| Serial No. | 1 | 2 | 3 | 4 | |
| Name of the Shareholders (I) | DHIREN D. MEHTA | MEENA D. MEHTA | DHARMEN DHIREN MEHTA | ADITI DHIREN MEHTA | Click here to go back |
| PAN (II) | AABPM5035A | AABPM5034B | AACPM5333Q | AAPPM2884B | Total |
| No. of fully paid up equity shares held (IV) | 914401 | 174370 | 3113 | 500 | 1092384 |
| No. Of Partly paid-up equity shares held (V) | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 914401 | 174370 | 3113 | 500 | 1092384 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 13.99 | 2.67 | 0.05 | 0.01 | 16.71 |
| Number of Voting Rights held in each class of securities (IX) | | | | | |
| Class eg:X | 914401 | 174370 | 3113 | 500 | 1092384 |
| Class eg:y | | | | | |
| Total | 914401 | 174370 | 3113 | 500 | 1092384 |
| Total as a % of Total Voting rights | 13.99 | 2.67 | 0.05 | 0.01 | 16.71 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 13.99 | 2.67 | 0.05 | 0.01 | 16.71 |
| Number of Locked in shares (XII) | | | | | |
| No. (a) | | | | | |
| As a % of total Shares held (b) | | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | |
| No. (a) | | | | | |
| As a % of total Shares held (b) | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 914401 | 174370 | 3113 | 500 | 1092384 |
| Reason for not providing PAN | | | | | |

| | | | | | |
|------------------------------|----------|----------|----------|----------|--|
| Reason for not providing PAN | | | | | |
| Shareholder type | Promoter | Promoter | Promoter | Promoter | |

| Any Other (specify) | | | | |
|--|-------------------------------------|------------------------------|---------------------------|---------------------------------------|
| Serial No. | 1 | 2 | 3 | |
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | Click here to go back |
| Name of the Shareholders (I) | STERLING INVESTMENT(INDIA) LIMITED | INDISTOCK SECURITIES LIMITED | COMPUTRON SYSTEMS PVT LTD | |
| PAN (II) | AADCS0857M | AACCS1442H | AAACC2886M | Total |
| No. of the Shareholders (I) | 1 | 1 | 1 | 3 |
| No. of fully paid up equity shares held (IV) | 835422 | 827550 | 362450 | 2025422 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 835422 | 827550 | 362450 | 2025422 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 12.78 | 12.66 | 5.54 | 30.98 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 835422 | 827550 | 362450 | 2025422 |
| Class eg:y | | | | |
| Total | 835422 | 827550 | 362450 | 2025422 |
| Total as a % of Total Voting rights | 12.78 | 12.66 | 5.54 | 30.98 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 12.78 | 12.66 | 5.54 | 30.98 |
| Number of Locked in shares (XII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |

| | | | | |
|---|----------------|----------------|----------------|---------|
| Number of equity shares held in dematerialized form (XIV) | 835422 | 827550 | 362450 | 2025422 |
| Reason for not providing PAN | | | | |
| Reason for not providing PAN | | | | |
| Shareholder type | Promoter Group | Promoter Group | Promoter Group | |

| Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | |
|--|------------------------|---------------------------------------|
| Searial No. | 1 | |
| Name of the Shareholders (I) | NIRMAL BAGRI | Click here to go back |
| PAN (II) | | Total |
| No. of fully paid up equity shares held (IV) | 71700 | 71700 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 71700 | 71700 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.1 | 1.1 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 71700 | 71700 |
| Class eg:y | | |
| Total | 71700 | 71700 |
| Total as a % of Total Voting rights | 1.1 | 1.1 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.1 | 1.1 |
| Number of Locked in shares (XII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 0 | 0 |
| Reason for not providing PAN | | |
| Reason for not providing PAN | Textual Information(1) | |

| | |
|------------------------|--------------|
| Text Block | |
| Textual Information(1) | Not Provided |

| Any Other (specify) | | | | | |
|--|------------------|--|---------------------------|----------|---------------------------------------|
| Serial No. | 1 | 2 | 3 | 4 | |
| Category | Bodies Corporate | Bodies Corporate | Non-Resident Indian (NRI) | HUF | |
| Category / More than 1 percentage | Category | More than 1 percentage of shareholding | Category | Category | |
| Name of the Shareholders (I) | | BHAVNA HOLDINGS PVT. LTD. | | | Click here to go back |
| PAN (II) | | | | | Total |
| No. of the Shareholders (I) | 52 | 1 | 2 | 2 | 56 |
| No. of fully paid up equity shares held (IV) | 359286 | 168000 | 300 | 1069 | 360655 |
| No. Of Partly paid-up equity shares held (V) | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 359286 | 168000 | 300 | 1069 | 360655 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 5.5 | 2.57 | 0 | 0.02 | 5.52 |
| Number of Voting Rights held in each class of securities (IX) | | | | | |
| Class eg: X | 359286 | 168000 | 300 | 1069 | 360655 |
| Class eg:y | | | | | |
| Total | 359286 | 168000 | 300 | 1069 | 360655 |
| Total as a % of Total Voting rights | 5.5 | 2.57 | 0 | 0.02 | 5.52 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 5.5 | 2.57 | 0 | 0.02 | 5.52 |
| Number of Locked in shares (XII) | | | | | |
| No. (a) | | | | | |
| As a % of total Shares held (b) | | | | | |
| Number of equity shares held in | 0 | 0 | 300 | 0 | 300 |

| | | | | | |
|------------------------------|--|------------------------|--|--|--|
| dematerialized form (XIV) | | | | | |
| Reason for not providing PAN | | | | | |
| Reason for not providing PAN | | Textual Information(1) | | | |

| | |
|------------------------|--------------|
| Text Block | |
| Textual Information(1) | not provided |

