

## General information about company

Scrip code	508963
Name of the company	STERLING GUARANTY & FINANCE LTD
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended	31-12-2015
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights	No

### Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A + B + C2)	Number of Voting Rights held in each class of securities (IX)	Number of Voting Rights held in each class of securities (IX)			
									No of Voting (XIV) Rights			Total as a % of (A + B + C)
									Class eg: X	Class eg: y	Total	
(A)	Promoter & Promoter Group	16	3117806			3117806	47.69					
(B)	Public	14981	3419794			3419794	52.31					
(C)	Non Promoter- Non Public											
(C1)	Shares underlying DRs											
(C2)	Shares held by Employee Trusts											
	Total	14997	6537600			6537600						

**Table I - Summary Statement holding of specified securities**

Category	Category of	No. Of Shares Underlying	No. Of	No. Of Shares Underlying Outstanding	Shareholding , as a % assuming full conversion of convertible securities ( as	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares
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(I)	shareholder (II)	Outstanding convertible securities (X)	Warrants (Xi)	convertible securities and No. Of Warrants (Xi) (a)	a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	held in dematerialized form (XIV)
(A)	Promoter & Promoter Group				47.69					0
(B)	Public				52.31					0
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total									0

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid- up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A	Number of Voting Rights held in each class of securities (IX)	
								No of Voting (XIV) Rights	Total as a % of Total Voting rights

							+B+C2)	Class eg: X	Class eg:y	Total	
<b>A</b>	<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>										
<b>(1)</b>	<b>Indian</b>										
<b>(a)</b>	Individuals/ Hindu undivided Family	12	1092384			1092384	16.71				
<b>(d)</b>	Any Other (specify)	4	2025422			2025422	30.98				
<b>Sub-Total (A)(1)</b>		16	3117806			3117806	47.69				
<b>(2)</b>	<b>Foreign</b>										
<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)</b>		16	3117806			3117806	47.69				
<b>B</b>	<b>Table III - Statement showing shareholding pattern of the Public shareholder</b>										
<b>(1)</b>	<b>Institutions</b>										
<b>(a)</b>	Mutual Funds	1	700			700	0.01				
<b>(f)</b>	Financial Institutions/ Banks	2	62800			62800	0.96				
<b>Sub-Total (B)(1)</b>		3	63500			63500	0.97				
<b>(3)</b>	<b>Non-institutions</b>										

(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	14921	2899058			2899058	44.34				
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	1	25200			25200	0.39				
(e)	Any Other (specify)	56	432036			432036	6.61				
Sub-Total (B)(3)		14978	3356294			3356294	51.34				
Total Public Shareholding (B)=(B)(1) +(B)(2)+(B) (3)		14981	3419794			3419794	52.31				
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B +C2 )		14997	6537600			6537600	100				
Total (A+B +C )		14997	6537600			6537600					

### Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. Of Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				16.71					0
(d)				30.98					0
Sub-Total (A) (1)				47.69					0
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				47.69					0
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0.01					0
(f)				0.96					0
Sub-Total (B) (1)				0.97					0

( 3 )	Non-institutions							
(a(i))				44.34				0
(a(ii))				0.39				0
(e)				6.61				0
Sub-Total (B) (3)				51.34				0
Total Public Shareholding (B)=(B)(1) +(B)(2)+(B)(3)				52.31				0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder							
Total ( A+B +C2 )				100				0
Total (A+B +C )								0

### Individuals/Hindu undivided Family

Searial No.	1	2	3	4	5	6
Name of the Shareholders (I)	MEENA D. MEHTA	DHIREN D. MEHTA	DHARMEN D. MEHTA	ADITI D. MEHTA	DHIRAJLAL SHAH	SHANTA D SHAH
PAN (II)	AABPM5034B	AABPM5035A	AACPM5333Q	AAPPM2884B	AAAAD0015B	AAAAS0562Q
No. of fully paid up equity shares held (IV)	143720	914381	3113	500	20	30650
No. Of Partly paid-up equity shares held (V)						

No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV) +(V)+ (VI)	143720	914381	3113	500	20	30650
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.2	13.99	0.05	0.01	0	0.47
Number of Voting Rights held in each class of securities (IX)						
Class eg:X						
Class eg:y						
Total						
Total as a % of Total Voting rights						
No. Of Shares Underlying Outstanding convertible securities (X)						
No. Of Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						



Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	2.2	13.99	0.05	0.01	0	0.47
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### Number of Locked in shares (XII)

No. (a)						
As a % of total Shares held (b)						

### Number of Shares pledged or otherwise encumbered (XIII)

No. (a)						
As a % of total Shares held (b)						

Number of equity shares held in dematerialized form (XIV)	0	0	0	0	0	0
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## Individuals/Hindu undivided Family

Serial No.	
Name of the Shareholders (I)	
PAN (II)	Total

No. of fully paid up equity shares held (IV)	1092384
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV) + (V) + (VI)	1092384
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	16.71
<b>Number of Voting Rights held in each class of securities (IX)</b>	
Class eg:X	
Class eg:y	
Total	
Total as a % of Total Voting rights	
No. Of Shares Underlying Outstanding convertible securities (X)	
No. Of Warrants (Xi)	

No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	16.71
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	0

**Any Other (specify)**

Searial No.	1	2	3
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Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	
Name of the Shareholders (I)	COMPUTRON SYSTEMS PVT LTD	STERLING INVESTMENTS (INDIA) ltd	INDISTOCK SECURITIES LTD	
PAN (II)	AAACC2886M	AADCS0857M	AACCS1442H	Total
No. of the Shareholders (I)	1	2	1	4
No. of fully paid up equity shares held (IV)	150000	1047872	827550	2025422
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV) +(V)+ (VI)	150000	1047872	827550	2025422
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.29	16.03	12.66	30.98
Number of Voting Rights held in each class of securities (IX)				
Class eg: X				
Class eg:y				
Total				
Total as a % of Total Voting rights				

No. Of Shares Underlying Outstanding convertible securities (X)				
No. Of Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A +B+C2)	2.29	16.03	12.66	30.98
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)				
As a % of total Shares held (b)				

## Mutual Funds

Serial No.	1	
Name of the Shareholders (I)	STOCK HOLDING CORPORATION OF INDIA LTD A/C GIC MUTUAL FUND	
PAN (II)	ZZZZZ9999Z	Total
No. of fully paid up equity shares held (IV)	700	700
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV) + (V) + (VI)	700	700
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.01	0.01
Number of Voting Rights held in each class of securities (IX)		
Class eg: X		
Class eg:y		
Total		
Total as a % of Total Voting rights		

No. Of Shares Underlying Outstanding convertible securities (X)		
No. Of Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A +B+C2)	0.01	0.01
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	0	0

### Financial Institutions/ Banks

Searial No.	1	2	
Name of the Shareholders (I)	STATE BANK OF INDIA	BANK OF INDIA	
PAN (II)	ZZZZZ9999Z	ZZZZZ9999Z	Total
No. of fully paid up equity shares held (IV)	100	62700	62800
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV) +(V)+ (VI)	100	62700	62800
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0.96	0.96
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X			
Class eg:y			
Total			
Total as a % of Total Voting rights			



No. Of Shares Underlying Outstanding convertible securities (X)			
No. Of Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A +B+C2)	0	0.96	0.96
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	0	0	0

**Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.**

Searial No.	1	
Name of the Shareholders (I)	INDIVIDUAL SHARE HOLDERS	
PAN (II)	ZZZZZ9999Z	Total
No. of fully paid up equity shares held (IV)	2899058	2899058
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV) + (V) + (VI)	2899058	2899058
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	44.34	44.34
Number of Voting Rights held in each class of securities (IX)		
Class eg: X		
Class eg:y		
Total		
Total as a % of Total Voting rights		

No. Of Shares Underlying Outstanding convertible securities (X)		
No. Of Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) + (X) As a % of (A + B + C2)	44.34	44.34
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	0	0

**Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.**

Searial No.	1	
Name of the Shareholders (I)	VINOD HINGORANI	
PAN (II)	ZZZZZ9999Z	Total
No. of fully paid up equity shares held (IV)	25200	25200
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV) + (V) + (VI)	25200	25200
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.39	0.39
Number of Voting Rights held in each class of securities (IX)		
Class eg: X		
Class eg:y		
Total		
Total as a % of Total Voting rights		

No. Of Shares Underlying Outstanding convertible securities (X)		
No. Of Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A +B+C2)	0.39	0.39
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	0	0

**Any Other (specify)**

Serial No.	1	2	3	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	
Name of the Shareholders (I)	BODIES CORPORATE	BHAVNA HOLDINGS PVT LTD	ISPAT FINANCE LTD	
PAN (II)	ZZZZZ9999Z	ZZZZZ9999Z	ZZZZZ9999Z	Total
No. of the Shareholders (I)	54	1	1	56
No. of fully paid up equity shares held (IV)	192436	168000	71600	432036
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV) + (V) + (VI)	192436	168000	71600	432036
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.94	2.57	1.1	6.61
Number of Voting Rights held in each class of securities (IX)				
Class eg: X				
Class eg:y				
Total				

Total as a % of Total Voting rights				
No. Of Shares Underlying Outstanding convertible securities (X)				
No. Of Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A +B+C2)	2.94	2.57	1.1	6.61
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	0	0	0	0